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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Masami OISHI, et al.

Appln. No.: 09/987,085

Group Art Unit: 2872

Confirmation No.: 6327

Examiner: Not Yet Assigned

Filed: November 13, 2001

For: RECORDING APPARATUS AND METHOD FOR OPTICAL RECORDING  
MEDIUM

**SUBMISSION OF FORMAL DRAWINGS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith please find eight (8) sheets of formal drawings. The Examiner is respectfully requested to acknowledge receipt of these formal drawings.

Respectfully submitted,

Darryl Mexic  
Registration No. 23,063

SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860  
DM/plr  
Date: January



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# 3  
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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED**

**ATTN: BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," mailed November 26, 2001 for the above application, Applicant respectfully submits herewith the Declaration for the above identified application properly executed by the inventors. Also enclosed please find Submission of Formal Drawings and eight (8) sheets of drawings, Assignment and PTO Form 1595, and Submission of Priority Document and one (1) priority document.

Checks for the statutory fee of \$130.00 and \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

Respectfully submitted,

*Darryl Mexic*

Darryl Mexic  
Registration No. 23,063

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Date: January 25, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/987,085	11/13/2001	Masami Oishi	Q67159

CONFIRMATION NO. 6327

## FORMALITIES LETTER



\*OC00000007115981\*

SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, NW  
Washington, DC 20037-3213

Date Mailed: 11/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/30/2002 BABRAHA1 00000013 09987085

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130.00 OP

#6  
PL  
7-22-02  
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JUN 26 2002  
TECHNOLOGY CENTER 2800  
PATENT APPLICATION



In re application of  
Masami OISHI, et al.

Docket No: Q67159

Appln. No. 09/987,085

Group Art Unit: 2872

Confirmation No.: 6327

Examiner: Not Yet Assigned

Filed: November 13, 2001

For: RECORDING APPARATUS AND METHOD FOR OPTICAL RECORDING MEDIUM

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

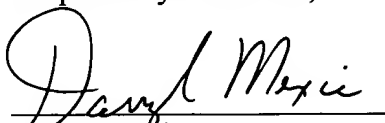
Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

  
Darryl Mexic  
Registration No. 23,063

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Telephone: (202) 293-7060  
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Date: June 25, 2002

